



**PIPER RUDNICK<sup>LLP</sup>**  
1200 NINETEENTH STREET, NW  
WASHINGTON, DC 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

DOCKET NO.: 9491-067-27 CONT

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/642,664  
Applicant(s): NAPOLEONE FERRARA, ET AL.  
Filing Date: AUGUST 19, 2003  
For: IMPROVED ANGIOGENESIS USING HEPATOCYTE  
GROWTH FACTOR  
Group Art Unit: UNASSIGNED  
Examiner: UNASSIGNED

SIR:

Attached hereto for filing are the following papers:

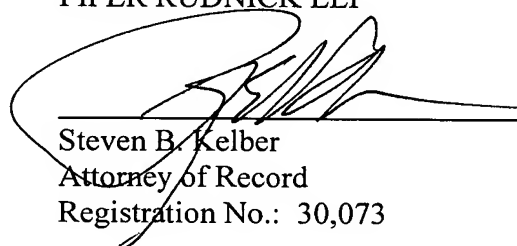
INFORMATION DISCLOSURE STATEMENT  
LIST OF RELATED CASES  
PTO FORM 1449 (6 SHEETS)  
CITED DOCUMENTS (2)

Our check in the amount of \$ -0- is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

Oct 17, 2003  
Date

  
Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Perry E. Van Over  
Registration No.: 42,197

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: NAPOLEONE FERRARA, ET AL.

GROUP ART UNIT: UNASSIGNED

SERIAL NUMBER: 10/642,664

EXAMINER: UNASSIGNED

FILED: AUGUST 19, 2003

FOR: IMPROVED ANGIOGENESIS USING HEPATOCYTE GROWTH FACTOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☐ with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

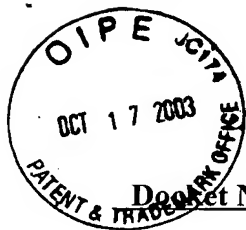
PIPER RUDNICK LLP

Steven B. Kelber  
Attorney of Record  
Registration No. 30,073

Perry E. Van Over  
Registration No. 42,197

Date

1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412  
Telephone No. (202) 861-3900  
Facsimile No. (202) 223-2085



## LIST OF RELATED CASES

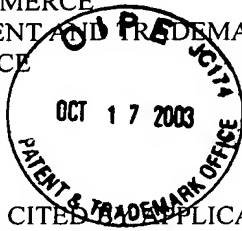
<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Status</u>
	08/459,849	June 2, 1995	Abandoned
	60/004,816	October 5, 1995	Abandoned
	08/726,110	October 4, 1996	Abandoned
	5,686,292	November 11, 1997	Patented
9491-008-27X CONT	6,133,231	October 17, 2000	Patented
9491-011-27	6,207,152	March 27, 2001	Patented
9491-012-27 CONT	6,214,344	April 10, 2001	Patented
9491-043-27 DIV	09/610,152	July 5, 2000	Abandoned
9491-048-27 CONT	6,468,529	October 22, 2002	Patented
9491-057-27 CONT	09/995,693	November 29, 2001	Pending
9491-062-27 CONT	10/232,408	September 3, 2002	Pending
9491-067-27 CONT*	10/642,664	August 19, 2003	Pending

The cases listed on this Notice of Related Cases include cases which may contain information that is material to patentability. The listing of a case on this Notice should not be taken as an indication or admission that any information contained therein is material. Prior art for each case listed on this Notice may have been cited. **The files corresponding to the listed cases, which are available to the Examiner, may not have not been examined to ascertain the materiality of any prior art therein.** Accordingly, the Examiner is requested to review the file for each case listed on this Notice in order to assess the materiality of such prior art.

\*Present application; listed for information.

Form PTO 1449

(Modified)

U.S. DEPARTMENT OF  
COMMERCE  
PATENT AND TRADEMARK  
OFFICE

DOCKET NO.

9491-067-27 CONT

SERIAL NO.

10/642,664

APPLICANT

NAPOLEONE FERRARA, ET AL.

FILING DATE

AUGUST 19, 2003

GROUP ART UNIT

LIST OF REFERENCES CITED BY APPLICANT  
(Use Several Sheets if Necessary)

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	AA	5,227,158	7/13/93	JARDIEU			
	AB	5,316,921	5/31/94	GODOWSKI ET AL.			
	AC	5,328,837	7/12/94	GODOWSKI ET AL.			
	AD	5,342,831	8/30/94	NAKAMURA ET AL.			
	AE	5,401,832	3/28/95	LINEMEYER ET AL.			
	AF	5,652,225	7/29/97	ISNER			
	AG	5,686,292	11/11/97	SCHWALL ET AL.			
	AH	5,703,047	12/30/97	WILSON			
	AI	5,703,048	12/30/97	ROOS ET AL.			
	AJ	5,707,624	1/13/98	NICKOLOFF ET AL.			
	AK	5,849,689	12/15/98	CHAMOW ET AL.			
	AL	6,133,231	10/17/00	FERRARA ET AL.			
	AM	6,207,152	3/27/01	SCHWALL ET AL.			
	AN	6,214,344	4/10/01	SCHWALL ET AL.			
	AO	6,468,529	10/22/02	SCHWALL ET AL.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AP	07-304796	11/21/95	JAPAN (ABSTRACT ONLY)		X
	AQ	08-295634	11/12/96	JAPAN (ABSTRACT ONLY)		X
	AR	WO 92/13097	8/6/92	PCT		
	AS	WO 92/20792	11/26/92	PCT		
	AT	WO 93/15754	8/19/93	PCT		
	AU	WO 96/38557	12/5/96	PCT		
	AV	WO 96/08094	5/31/96	PCT		

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	DOCKET NO. 9491-067-27 CONT	SERIAL NO. 10/642,664
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EXAMINER			DATE CONSIDERED		
*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.					